

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: BIO-RAD LABORATORIES, INC.; CALIFORNIA INSTITUTE OF TECHNOLOGY

Application No./Patent No.: 7,119,170

Filed/Issue Date: October 10, 2006

Titled: Methods And Compositions For Diagnosis And Treatment Of Iron Overload Diseases And Iron Deficiency Diseases

BIO-RAD LABORATORIES, INC.;

CALIFORNIA INSTITUTE OF TECHNOLOGY

, a Corporation; University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, governmental agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: INVENTORS

To: PROGENITOR, INC

The document was recorded in the United States Patent and Trademark Office at

Reel 009797

Frame 0082

, or for which a copy thereof is attached.

2. From: INVENTOR

To: CALIFORNIA INSTITUTE OF TECHNOLOGY

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Reel 009797

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, or for which a copy thereof is attached.

3. From: PROGENITOR, INC.

To: BIO-RAD LABORATORIES, INC

The document was recorded in the United States Patent and Trademark Office at

Reel 010489

Frame 0178

, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NYI-4192738v1

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**SUPPLEMENTAL SHEET TO
STATEMENT UNDER 37 CFR 3.73(b)**

Patent Owner: Bio-Rad Laboratories, Inc.; California Institute of Technology

Patent No.: 7,119,170

Issue Date: October 10, 2006

4. From: Progenitor, Inc. To: Bio-Rad Laboratories, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 010598, Frame 0014

5. From: Progenitor, Inc. To: Bio-Rad Laboratories, Inc.

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